

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Patent No. :	6116729
Grant Date:	September 12, 2000
Title:	HEAD MAGNIFYING GLASS
Inventor:	Huang, Tsung-Hui

**DECLARATION OF MS. HARRIS**

Director of the U.S. Patent and Trademark Office  
Alexandria, VA

Sir:

The undersigned, being hereby warned that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and may jeopardize the validity of any patent resulting therefrom, declares that:

1. All statements made herein of my own knowledge are true, and all statements made on information and belief are believed to be true.
2. My name is Lissa Jacquet Harris, and I was an employee of the law firm Bacon & Thomas, PLLC of Alexandria, Virginia (referred to below as "Bacon & Thomas") during the relevant time period in 2008.
3. During the relevant time period in 2008, I regularly processed the payment of maintenance fees to the U.S. Patent and Trademark Office (referred to below as the "USPTO") for U.S. patents on behalf of the clients of Bacon & Thomas.
4. During the relevant time period in 2008, I was supervised by Mr. J. Ernest Kenney who was a patent attorney and the senior member of Bacon & Thomas.
5. During the relevant time period in 2008, I communicated with George Chen who was fluent in Chinese and also an employee of Bacon & Thomas. I have never understood Chinese.
6. I received a copy of an email that George Chen sent at 7:54 AM on June 25, 2008, as shown in the attached Exhibit LH-1, which made me aware of instructions from Talents International Patent & Trademark Office of Taichung, Taiwan (referred to below as "Talents") to Bacon & Thomas to pay the 7.5 years maintenance fee for U.S. Patent No.

**DECLARATION OF MS. HARRIS**  
**U.S. Patent No. 6116729**

6116729 (referred to below as "the '729 patent") in an email dated June 25, 2008, which appears as the earliest email at 3:01 AM on June 25, 2008, in Exhibit LH-1.

7. I sent an email to George Chen at 10:56 AM on June 27, 2008, to ask whether this case should be transferred from our client "Jason Counselling" to our client "Talents" as shown in Exhibit LH-1.
8. I sent an email to Talents shown in Exhibit LH-2, but I did not notice that the patent number of the attachment ("U.S. 6,116,758.pdf") was different from the patent number ("6,116,729") in the subject line.
9. The letter to USPTO about the 7.5 years maintenance fee for U.S. Patent No. 6116758 (referred to below as "the '758 patent") dated August 21, 2008 (copy attached as Exhibit LH-3, and referred to below as the "8/21/08 BT letter"), was signed by Mr. Kenney and by me.
10. Before I brought the 8/21/08 BT letter to Mr. Kenney for signature, I believe that I followed my standard practice of reviewing the contents of the 8/21/08 BT letter and comparing them with the receipt card and check (copy attached as Exhibit LH-4, and referred to below as the "8/21/08 receipt card and check") that I prepared.
11. Before I brought the 8/21/08 BT letter to Mr. Kenney for signature, I believe that I followed my standard practice of comparing the 8/21/08 BT letter to the relevant instructions from the client's agent which are the Notice of Remittance letter from Talents dated August 21, 2008, and the attachments thereto (copy attached as Exhibit LH-5, and referred to below as the "8/21/08 Talents letter").
12. I asked Mr. Kenney to sign the 8/21/08 BT letter because it was prepared in accord with the 8/21/08 Talents letter and agreed with 8/21/08 receipt card and check.

Respectfully signed,

Date: November 27, 2012


  
Lissa Jacquet Harris

Exhibit LH-1, page 1 of 2 pages,  
to the Declaration of Ms. Harris  
for U.S. Patent No. 6116729

Page 1 of 2

**Elizabeth Harris**

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**From:** George Chen  
**Sent:** Friday, June 27, 2008 11:10 AM  
**To:** Elizabeth Harris  
**Subject:** RE: U.S. Patent No. 6,116,729 (Our Ref.: P-9371)

It's okay to transfer to talents, and pay when we receive prepayment.

Thanks!

---

**From:** Elizabeth Harris  
**Sent:** Friday, June 27, 2008 10:56 AM  
**To:** George Chen  
**Subject:** RE: U.S. Patent No. 6,116,729 (Our Ref.: P-9371)

Hi George,

We have this case on file as drop per instruction for Jason Counselling, should we transfer this to Talents and pay?

Thanks!

Lissa

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**From:** George Chen  
**Sent:** Wednesday, June 25, 2008 7:54 AM  
**To:** Talents  
**Cc:** Elizabeth Harris  
**Subject:** RE: U.S. Patent No. 6,116,729 (Our Ref.: P-9371)

Please click on "reply to all" to reply.

In our invoice, we will not show "late fee", we'll put it together with the "regular fee" for services and gov. fee.

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**From:** George Chen  
**Sent:** Wednesday, June 25, 2008 7:50 AM  
**To:** 'Talents'  
**Cc:** Elizabeth Harris  
**Subject:** FW: U.S. Patent No. 6,116,729 (Our Ref.: P-9371)  
**Importance:** High

Dear Miss Chen:

Do we need to put \$190 for transferring this case to us (Do we need to file revocation and change fee address)?

We'll e-mail you an invoice after your reply.

George

6/27/2008

Exhibit LH-1, page 2 of 2 pages,  
to the Declaration of Ms. Harris  
for U.S. Patent No. 6116729

Page 2 of 2

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**From:** Talents [mailto:talentpt@ms49.hinet.net]  
**Sent:** Wednesday, June 25, 2008 3:01 AM  
**To:** George Chen  
**Subject:** Re: U.S. Patent No. 6,116,729 (Our Ref.: P-9371)  
**Importance:** High

Re: U.S. Patent No. 6,116,729  
Title: A MODIFIED HEAD MAGNIFYING GLASS  
Inventor: Tsung-Hui HUANG  
Our Ref.: P-9371

陳先生您好：

本案專利原代理人沒有做年費管制通知，目前狀態為滯納期間。我所客戶欲續繳第2次年費，先開立請款單並email至我所？另，請勿在請款單上顯示出"滯納金"，將滯納金額含在年費金額裡。謝謝！

如有任何疑問，敬請不吝賜教。謝謝！

首府 陳小姐

\*\*\*\*\*

首府國際專利商標事務所

TALENTS INTERNATIONAL PATENT & TRADEMARK OFFICE

台灣40247台中市南區復興路三段360-4號3樓

FL. 3, No. 360-4, Sec. 3, Fuhsing RD., South Dist., Taichung 40247, Taiwan.

TEL: 886-4-2220-5566

FAX: 886-4-2220-1166

E-mail : [talentpt@ms49.hinet.net](mailto:talentpt@ms49.hinet.net)

Exhibit LH-2, page 1 of 2 pages,  
to the Declaration of Ms. Harris  
for U.S. Patent No. 6116729

Page 1 of 2

**Elizabeth Harris**

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**From:** Elizabeth Harris  
**Sent:** Saturday, June 28, 2008 1:48 PM  
**To:** 'talentpt@ms49.hinet.net'  
**Cc:** George Chen  
**Subject:** U.S. Patent No. 6,116,729 (Our Ref.: P-9371)  
**Attachments:** U.S. 6,116,758.pdf

Dear Ms. Chen,

Further to Mr. George Chen's emails, attached is our instruction acknowledgement and invoice for payment of the 7.5 year maintenance fee for the above referenced US patent. The original will follow via airmail.

Should you need anything further please do not hesitate to contact me.

Best regards,

Lissa Harris  
Bacon & Thomas PLLC  
Fourth Floor  
625 Slaters Lane  
Alexandria, Virginia 22314  
Tel: (703) 683-0500  
Fax: (703) 683-1080  
Email: [lharris@baconthomas.com](mailto:lharris@baconthomas.com)

VERY IMPORTANT: Send cc to [mail@baconthomas.com](mailto:mail@baconthomas.com) of any e-mail that relates to a deadline or requires docketing in the event that I am out of the office.

- 2) Be certain that Bacon & Thomas, PLLC, expressly acknowledges taking responsibility for any deadline or case.
- 3) Consider encrypting any confidential information.

---

**From:** Talents [<mailto:talentpt@ms49.hinet.net>]  
**Sent:** Wednesday, June 25, 2008 3:01 AM  
**To:** George Chen  
**Subject:** Re: U.S. Patent No. 6,116,729 (Our Ref.: P-9371)  
**Importance:** High

Re: U.S. Patent No. 6,116,729  
Title: A MODIFIED HEAD MAGNIFYING GLASS  
Inventor: Tsung-Hui HUANG  
Our Ref.: P-9371

陳先生您好：

本案專利原代理人沒有做年費管制通知，目前狀態為滯納期間。我所客戶欲續繳第2次年費，先開立請款單並email至我所？另，請勿在請款單上顯示出"滯納金"，將滯納金額含在年費金額裡。謝謝！

6/28/2008

Exhibit LH-2, page 2 of 2 pages,  
to the Declaration of Ms. Harris  
for U.S. Patent No. 6116729

如有任何疑問，敬請不吝賜教。謝謝！

首府 陳小姐

\*\*\*\*\*

首府國際專利商標事務所

TALENTS INTERNATIONAL PATENT & TRADEMARK OFFICE

台灣40247台中市南區復興路三段360-4號3樓

FL. 3, No. 360-4, Sec. 3, Fuhsing RD., South Dist., Taichung 40247, Taiwan.

TEL: 886-4-2220-5566

FAX: 886-4-2220-1166

E-mail : [talentpt@ms49.hinet.net](mailto:talentpt@ms49.hinet.net)

Exhibit LH-3, page 1 of 1 page,  
to the Declaration of Ms. Harris  
for U.S. Patent No. 6116729

J. ERNEST KENNEY  
EUGENE MAR  
RICHARD E. FICHTER  
THOMAS J. MOORE  
ERIC S. SPECTOR  
FELIX J. D'AMBROSIO  
BENJAMIN E. URCIA  
JUSTIN J. CASSELL  
JOHN R. SCHAEFER  
SCOTT A. BRAIRTON  
PATRICK M. BUECHNER

GEORGE CHUNG CHIN CHEN\*

\* BAR OTHER THAN VA  
\* REGISTERED PATENT AGENT

LAW OFFICES

## BACON & THOMAS

*A Professional Limited Liability Company*

PATENT TRADEMARK AND COPYRIGHT CAUSES

625 SLATERS LANE - FOURTH FLOOR

ALEXANDRIA, VIRGINIA 22314-1176

U.S.A.

TELEPHONE  
(703) 683-0500

FACSIMILE  
(703) 683-1080  
(703) 683-0684

E-MAIL  
mail@baconthomas.com

August 21, 2008



COMMISSIONER FOR PATENTS  
MAIL STOP M FEE  
P.O. Box 1450  
ALEXANDRIA, VA 22313-1450

CONTROL NO. LIM1002

Dear Sir:

Below is a list of U.S. patents for which payment of fees is enclosed. Please charge any maintenance fees and/or necessary surcharges listed for any patent for which payment is not enclosed or is insufficient, or credit any overpayment, to deposit account number **02-0200**.

The fee address for all of the listed patents is:

BACON & THOMAS  
625 Slaters Lane - 4<sup>th</sup> Floor  
Alexandria, Virginia 22314

Payor Number 000484

PATENT/ GRANTED	APPLICATION/ FILED	FOR	FEE STATUS	TOTAL FEES	SURCHARGE	FEE CODE
6116758 9/12/2000	09/052108 3/31/1998	U.S. 7.5	SMALL ENTITY	\$1,180.00	\$65.00	
TOTAL ENCLOSED:				\$1,245.00		

J. ERNEST KENNEY  
REG. NO 19,179

Respectfully Submitted,  
BACON & THOMAS

Lissa Harris  
U.S. Maintenance Fees

Exhibit LH-4, page 1 of 1 page,  
to the Declaration of Ms. Harris  
for U.S. Patent No. 6116729

GENERAL RECEIPT

Atty. Ref.: **LIMI002**

**Bacon & Thomas** - 625 Slaters Lane - 4th Floor - Alexandria, VA 22314

IN RE: **PATENT NUMBER / GRANT DATE**

6116758 - 9/12/2000

DELIVER TO:



MAIL ROOM



ART  
UNIT

SPECIAL INSTRUCTIONS:

THE PTO STAMP HEREON ACKNOWLEDGES RECEIPT OF:

**Maintenance Fee Payment and Surcharge**

Payment Transmittal &

Check for:

\$1245.00

FILED BY: **LH**

**BACON & THOMAS**  
625 SLATERS LANE PH. 703-683-0500  
ALEXANDRIA, VA 22314

**57510**

68-54/514  
BRANCH 97735

DATE 8/21/2008

PAY  
TO THE  
ORDER OF

**DIRECTOR OF THE UNITED STATES PATENT & TRADEMARK OFFICE**

\$ 1,245.00

*One thousand two hundred forty five and 00/100 DOLLARS*



**WACHOVIA**  
Wachovia Bank, N.A.  
wachovia.com

FOR **US M F PAY LIMI002**

⑈057510⑈ ⑈051400549⑈ 206520282409⑈

*[Signature]*





# 首府國際專利商標事務所

TALENTS INTERNATIONAL PATENT & TRADE MARK OFFICE

台中市南區復興路三段 360 之 17 號 8 樓  
TEL : 886-4-2220-5566  
FAX : 886-4-2220-1166

8FL, 360-17, FUHSING RD., SEC. 3,  
SOUTH DIST., TAICHUNG, TAIWAN  
E-mail : talentpt@ms49.hinet.net

## VIA FACSIMILE & E-MAIL

LAW OFFICE BACON & THOMAS

Attn: Mr. George Chen

625 SLATERS LANE - FOURTH FLOOR

ALEXANDRIA, VIRGINIA 22314-1176

U.S.A.

Re: the Notice of Remittance

陳崇欽先生鈞鑒：

我所已於今日電匯 US\$ 2,706.80 於 貴公司，詳細結匯資料如下：

(A)	U.S. Patent No. 6,116,758 Title: Light Inlay for Various Halogen Light Bulbs, Lagging Illumination and All Necessary Accessories Inventor: Tsung-Hui HUANG Your Ref.: LIMJ002 Our Ref.: P-9371 Invoice No.: 61038 Amount: USD 1,725.00
(B)	U.S. Patent Application No. 11/229,514 Title: Retraction Mechanism of Light Pen Inventor: Jung-Tsan LIU Your Ref.: LIUJ3007/JJC/JS Our Ref.: P-940705 Invoice No.: P-1099 Amount: USD 981.80

煩請盡速辦理代繳年費以及提出答辯事宜。如有任何疑問，敬請不吝賜教。  
謝謝！

順 頌

商 祺

首府國際專利商標事務所  
所長 林有木 敬上  
2008 年 08 月 21 日

TAIWAN CO-OPERATIVE BANK


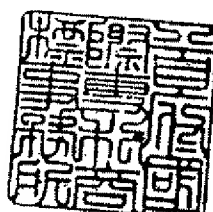
匯出匯款申請書

Outward Remittance Application Form

受理單位代號: 0220

申請日期: 2008. 8. 21  
Date

(粗線欄內由申請人填寫, 如係匯款雙線欄內須詳填)

申請人 (Applicant)	50 中英文 姓名: 賴志國 賴志國 賴志國 賴志國 全名: TALENTS INT'L PATENT & TRADEMARK OFFICE 地址及 3F., 360-4, SEC. 3, Fuhsing RD., 電話: Taichung, Taiwan address and telephone no (04) 222-5566 統一編號/居留證/護照/國籍: 06061448 enterprise registration/resident I.D. card/passport no./nationality 客戶戶號: 出生年月日: date of birth	申請人簽章 (applicant's signature/seal)  	(申請人同意背面所載匯出匯款約定書各項條款)
	匯款方式 (transfer method) <input checked="" type="checkbox"/> 電匯 (T/T) <input type="checkbox"/> 信匯 (M/T) <input type="checkbox"/> 票匯 (BANK DRAFT) <input type="checkbox"/> 旅行支票 (T/C) <input type="checkbox"/> 現鈔 (CASH) <input type="checkbox"/> 外匯存款 (foreign exchange deposit) <input type="checkbox"/> 其他: others		
受款人 (Remittee)	全名: LAW OFFICE Beneficiary BACON & THOMAS 地址: 625 Slaters Lane - Fourth Floor address Alexandria, Virginia 22314, U.S.A. 59 帳號: 20-652-02834085 account no. 57 往來銀行及地址: account with inst. (若有中間銀行, 請一併提供) WACHOVIA BANK 1455 JEFF DAVIS HWY., ARLINGTON, VA 22202, U.S.A. ABA # 051400549 SWIFT: PNBPLUS 33	匯款方式 (transfer method) <input type="checkbox"/> 以新台幣結購: paid by NTD <input type="checkbox"/> 由外匯存款提出: local foreign exchange deposit <input type="checkbox"/> 旅行支票: traveler cheques <input type="checkbox"/> 外幣現鈔: cash (in foreign currency) <input type="checkbox"/> 出口押匯: 託收款: export proceeds <input type="checkbox"/> 匯入匯款轉匯: inward remittance <input type="checkbox"/> 其他: others	匯率 exchange rate @ 折合新台幣金額 NT \$ 手續費 handling fees NT \$ 郵電費 swift/mail fees NT \$ 應繳新台幣總額 total payment NT \$
	70 附言 (details of payment): (用英文填寫) invoice no. 61038		
匯款金額合計: remittance amount USD 1725-			
匯款分類名稱及編號: (nature of remittance) 192 佣金支出 <input type="checkbox"/> 131 商務支出 <input type="checkbox"/> 132 觀光支出 <input type="checkbox"/> 133 探親支出 <input type="checkbox"/> 510 贈家匯款 <input type="checkbox"/> 692 存入外存 (如係於本國港口通關之進口貨款向運右欄)			
受款地區國別: USA destination of remittance	國外受款人身分別 remittee's status <input type="checkbox"/> 政府 <input type="checkbox"/> 公營事業 <input checked="" type="checkbox"/> 民間 government government-owned enterprise others		
轉帳銀行 (劃帳銀行):			
匯出匯款編號: A 1158101285			

第三聯: 客戶結匯證明聯 (請憑本聯換取正式賣匯水單)

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受	理	單	位
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匯出匯款申請書

Outward Remittance Application Form

受理單位代號: 0220

申請日期: 2008.8.21  
Date

(粗線欄內由申請人填寫, 如係匯款雙線欄內須詳填)

申請人 (Applicant)	50 中英文 全名: TALENTS INT'L PATENT & TRADEMARK OFFICE applicant's name	申請人簽章 (applicant's signature/seal)	  (申請人同意背面所載匯出匯款約定書各項條款)
	地址及電話: 3F., 360-4, SEC. 3, Fuhsing RD., Taichung, Taiwan address and telephone no. (04) 2220 5566 統一編號/居留證/護照/國籍: 06061448 enterprise registration/resident I.D. card/passport no./nationality 客戶戶號: 出生年月日: date of birth		
受款人 (Remitee)	全名: LAW OFFICE Beneficiary BACON & THOMAS 地址: 625 Slaters Lane - Fourth Floor address Alexandria, Virginia 22314, U.S.A. 59 帳號: 20-65-20-652-02824085 account no. 57 往來銀行及地址: (若有中間銀行, 請一併提供) WACHOVIA BANK 1955 JEFF DAVIS HWY., ARLINGTON, VA 22202, U.S.A. ABA# 051400549 SWIFT: PNBPU33	匯款方式 (transfer method)	<input checked="" type="checkbox"/> 電匯 (T/T) <input type="checkbox"/> 信匯 (M/T) <input type="checkbox"/> 票匯 (BANK DRAFT) <input type="checkbox"/> 旅行支票 (T/C) <input type="checkbox"/> 現鈔 (CASH) <input type="checkbox"/> 外匯存款 (foreign exchange deposit) <input type="checkbox"/> 其他: others
	70 附言 (details of payment): (用英文填寫) Invoice no. P-1099		
匯款金額合計: remittance amount USD 981.8		繳款方式 (paid by means of)	<input type="checkbox"/> 以新台幣結購 USD 981.80 paid by NTD <input type="checkbox"/> 由外匯存款提出 local foreign exchange deposit <input type="checkbox"/> 旅行支票 traveler cheques <input type="checkbox"/> 外幣現鈔 cash (in foreign currency) <input type="checkbox"/> 出口押匯、託收款 export proceeds <input type="checkbox"/> 匯入匯款轉匯 inward remittance <input type="checkbox"/> 其他: others
匯款分類名稱及編號: (nature of remittance) 192 佣金支出			
<input type="checkbox"/> 131 商務支出 <input type="checkbox"/> 132 觀光支出 <input type="checkbox"/> 133 探親支出 <input type="checkbox"/> 510 贈與匯款 <input type="checkbox"/> 692 存入外存 (如係於本國港口通關之, <input type="checkbox"/> 700 已進口 <input type="checkbox"/> 701 未進口 進口貨款均選右欄)		匯率 exchange rate @	折合新台幣金額 NT \$ 872
受款地區國別: USA destination of remittance		手續費 handling fees	NT \$ 10
國外受款人身分別 remittees status <input type="checkbox"/> 政府 <input type="checkbox"/> 公營事業 <input checked="" type="checkbox"/> 民間 government government-owned enterprise others		郵電費 swift/mail fees	NT \$ 20
轉帳銀行 (劃帳銀行):		應繳新台幣總額 total payment	NT \$ 892
匯出匯款編號: A1108W1088			

第三聯: 客戶結匯證明聯 (請憑本聯換取正式賣匯水單)

經辦

核章

受理單位	經辦	核章